Sheet 1 of 1

### FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSORS ENDER STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION	NO
200314542-1	10/764,966		
APPLICANT			
Michael F. Angelo et a	ıl.		
FILING DATE	GROUP		
01/26/2004			

REFEREN		~-~	~~	TIOL
DEEEDER	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		I i NI A	1 11 11 11

Rev 10/03 (PTO1449)

### U.S. PATENT DOCUMENTS

EXAMINE		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
Au	1A	10/660,335		Angelo et al.	
	1B				
	1C				
	1D				
	1E				·
	1F				
	1G				
	1H				
	11				
	1J			·	•
	1K				

### FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
1L		·			<u> </u>
1M		·,			
1N				,	
10					
1P	<del>,</del>				1

# OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	10	"Trusted Computing Platform Alliance (TCPA) Trusted Platform Module Protection Profile," Version 1.9.7, Published July 1, 2002 (Prepared by TCPA Membership)
Av		1.9.7, Published July 1, 2002 (Prepared by TCFA Weimbership)
Ace	1R	"Trusted Computing Group (TCG) Main Specification," Version 1.1a, Published September 2001 (Prepared by Trusted Computing Group)
	18	
EXAM	INER	DATE CONSIDERED  6/18/07

Sheet 1 of 8

			70.4440			ATTY. DOCKET NO.	APPLICATION NO	CONFIRMATION	I NO		
			TO-1449			200314542-1	10/764966				
			PATENTS AND PU NT'S INFORMATI	JBLICATIONS FOR ON DISCLOSURE		APPLICANT		•			
			STATE			Michael F. Angelo	et al.				
		(U	se several sheets i	f necessary)		Herewith	2134				
REFE	REN	CE	DESIGNATION	U.S. P	ATEN	T DOCUMENTS					
MAX AITINI			DOCUMENT NUMBER	PUBLICATION DATE		NAME		ns, Lines Where or Figures Appear			
AN	U.	1A	8,647,415	11/11/2003	Ol	arig et al.					
110.	-	-+	6,633,978	10/14/2003	Ar	ngelo et al.					
	一.	1C	6,625,730	09/23/2003	Ar	ngelo et al.		<del></del>			
	-	10	6,625,729	09/23/2003	Ar	ngelo et al.		/			
		1E	6,609,204	08/19/2003	OI	arig et al.		·			
-	_	1F	6,581,162	06/17/2003	Ar	ngelo et al.	X	••	,		
	1	-	6,567,901	05/20/2003	Ne	eufeld					
$\neg$	_		6,505,268	01/07/2003	Sc	chultz et al.					
			6,502,203B2	12/31/2002	Ba	arron et al.			•		
$\dashv$	$\dashv$		6,477,648B1	11/15/2002	Sc	chell et al.					
		1K	6,470,443B1	10/22/2002	En	ner et al.			•		
			DOCUMENT NUMBER	PUBLICATION DATE	١	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lin Relevant Passages/Fi	nes Where	neck if nslatio tached		
AL	#	1L	EP0851335B1	10/29/2003	Ange	lo et al.	7				
一	一	1M	EP0674273B1	05/24/2000	Bryg	et al.					
1	7	1N	EP0674273A1	09/27/1995	Bryg	et al.					
		10									
		1P	<u>,                                     </u>			•					
			OTHER REF	ERENCES (includ	ling A	uthor, Title, Date, P	ertinent Pages, etc.	)			
m	~	10	Angelo et al., Serial No. 09/	"Method and App. 540,697, filed Mar	aratus rch 31	to Provide Enhanced , 2000	Computer Protection,	" Application			
		1F	Angelo et al., "Method and Apparatus for Providing Enhanced Computer Security," Application Serial No. 09/540,812, filed March 31, 2000								
	Angelo et al., "Comupter System Hafiled March 31, 2000					ing Security Features,	* Application Serial N	o. 09/540,811,	-		
FX	AMI	L NER				DATE CONSIDER	RED	·	-		
		•	11	<del>-</del>		6/1	8/07				

Sheet 2 of 8

	ΕO	DM D	TO-1449			ATTY. DOCKET NO.	APPLIC	ATION NO.	CONFIRMATION	NO
						200314542-1				
			PATENTS AND PU INT'S INFORMATI	JBLICATIONS FOR ON DISCLOSURE		APPLICANT	_			
	• • • •	. 40,	STATE			Michael F. Angelo	et al.			
		(U	se several sheets i	f necessary)		Herewith	CAUCA		•	
REF	EREN	ICE	DESIGNATION	U.S. PA	ATEN	T DOCUMENTS			<u> </u>	•
EXAN	INER	<b>-</b> T	DOCUMENT	PUBLICATION	1				s, Lines Where	•
TINI	IAL		NUMBER	DATE		NAME	Relevan	t Passages	or Figures Appear	
A	~	2A (	6,467,048B1	10/15/2002	Ola	arig et al.				•
		2B (	6,463,495B1	10/08/2002	An	gelo et al.				
	П	2C	6,460,121B1	10/01/2002	Bo	nola				
		2D (	6,442,631B1	08/27/2002	Ne	ufeld et al.				_
-		2E (	6,418,533B2	07/09/2002	An	gelo et al.				-
		2F	6,401,208B2	06/04/2002	Da	vis et al.				
		2G	6,400,823B1	06/04/2002	An	gelo				
_		2H	6,370,649B1	04/09/2002	An	gelo et al.				_
		-	6,363,449B1	03/26/2002	Şic	le et al.				-
		$\rightarrow$	6,330,674B1	12/11/2001	An	gelo				•
$\overline{}$		-	6,311,273B1	10/30/2001	He	elbig Sr. et al.				•
				<u> </u>		PATENT DOCUME		Columns/Lin	\A/h	heck if
			DOCUMENT NUMBER	PUBLICATION DATE		IAME OF PATENTEE OR APPLICANT			٨	nslatio tached
-		2L								
		2M								
		2N								
		20								
_		2P								
	-	•	OTHER REF	ERENCES (Includi	ng Aı	uthor, Title, Date, Pe	ertinent Pa	ges, etc.)	•	
	re	20	Neufeld, E. D. Envrionment,	avid, "Method and A ' Application Serial	Appara No. 0	atus for Preserving the 19/967,268, filed Sept	e Integrity o tember 28,	f Manage 2001	ment Subsystem	
		2F	Neufeld, et al Security Subs September 28	system for a Proces:	paratu sor-Ba	s for Generating a Stro sed Device," Applica	ong Random tion Serial N	n Number ( No. 09/966	for Use in a 5,890, filed	_
		25	Brown et al., Replacement	"Method and Appa in a Security Subsy	ratus stem,	for Preserving a Stron " Application Serial No	g Random No. 10/037,5	Number Ac 11, filed J	ross Battery anuary 4, 2002	•
_	EXAM	INFR		<u> </u>		DATE CONSIDER	RED			-
				L			6/15	100		

Sheet 3 of 8

F	ORM !	PTO-1449			ATTY, DOCKET NO.	17.11.1.210717		CONFIRMAT	
			12112121212		200314542-1			<u> </u>	
		F PATENTS AND PI ANT'S INFORMATI			APPLICANT	a4 a1	•		•
		STATE	EM ENT		Michael F. Angelo FILING DATE	et al.			<del></del>
	(1	Use several sheets	if necessary)		Herewith				
FERE	NCE	DESIGNATION	U.S. PA	ATEN	T DOCUMENTS				
AMINEF IITIAL		DOCUMENT NUMBER	PUBLICATION DATE		NAME			s, Lines Where or Figures Appe	ear_
44	зА	6,308,265B1	10/23/2001	Mil	ler				
	3B	6,298,411B1	10/02/2001	Gia	calone				
T	3C	6,288,843B1	09/11/2001	An	gelo et al.				
T	3D	6,263,431B1	07/17/2001	Lov	/elace				
1	3E	6,199,167B1	06/06/2001	He	inrich et al.				_
1	3F	6,182,892B1	02/06/2001	An	gelo et al.				
1	3G	6,167,538	12/26/2000	Ne	ufeld et al.				
	зн	6,134,591	10/17/2000	Nic	kles				
1	31	6,131,174	10/10/2000	Fis	cher et al.				
1	3J	6,125,446	09/26/2000	Ola	arig et al.				
1	3K	6,119,228	09/12/2000	An	Angelo et al.				
	Ţ	DOCUMENT	PUBLICATION		I PATENT DOCUME	Pages/Coli			Transl
	3L	DOCUMENT NUMBER	1			· · · · · · · · · · · · · · · · · · ·			Transla
	3L 3M	NUMBER	PUBLICATION		AME OF PATENTEE	Pages/Coli			Transla
	-	NUMBER .	PUBLICATION		AME OF PATENTEE	Pages/Coli			Transla
	3M	NUMBER :	PUBLICATION		AME OF PATENTEE	Pages/Coli			Transla
	3M	NUMBER	PUBLICATION		AME OF PATENTEE	Pages/Coli			Transla
	3M 3N 3O	NUMBER	PUBLICATION DATE	N	AME OF PATENTEE	Pages/Coli Relevant Pass	sages/Fig	ures Appear	Check Transla attach
na	3M 3N 3O 3P	OTHER REF	PUBLICATION DATE	ing Au	AME OF PATENTEE OR APPLICANT	Pages/Coli Relevant Pass	es, etc.)	ures Appear	Transla attach
Fra /	3M 3N 3O 3P	OTHER REF	PUBLICATION DATE  FERENCES (including Method and Apparator Secure Key Exchange)  "Virtual Media from	ing Au	AME OF PATENTEE OR APPLICANT	Pages/Coli Relevant Pass ertinent Page ryption Using 10/037,491	es, etc.) Existing, filed J	SSL anuary 4, 200	Transl attac
na	3M 3N 3O 3P	OTHER REF  OF Tranz et al., " Connection for the co	PUBLICATION DATE  PUBLICATION DATE  FERENCES (including the formula of the following t	ing Au atus fo ange,"	athor, Title, Date, Per Initiating Strong Enc Application Serial No.	Pages/Coli Relevant Pass ertinent Page ryption Using 10/037,491 ication Serial	es, etc.) Existing, filed J	SSL anuary 4, 200	Transl attac
Tru EXAM	3M 3N 3O 3P	OTHER REF  OTHER REF  OTHER REF  Connection for the	PUBLICATION DATE  PUBLICATION DATE  FERENCES (including the formula of the following t	ing Au atus fo ange,"	athor, Title, Date, Por Increasing the Fur	Pages/Coli Relevant Pass ertinent Page ryption Using 10/037,491 ication Serial	es, etc.) Existing, filed J	SSL anuary 4, 200	Translattac

Sheet 4 of 8

F	ORM F	TO-1449			ATTY, DOCKET NO.	/	APPLICATION NO.	CONFIRMATION	NO.		
			UBLICATIONS FOR		200314542-1						
		ANT'S INFORMATI	ON DISCLOSURE		APPLICANT	at al					
•		STATE	MENT		Michael F. Angelo FILING DATE		GROUP				
	<u>(</u> (	Ise several sheets	if necessary)		Herewith			·			
REFERE	ENCE	DESIGNATION	U.S. PA	TEN'	T DOCUMENTS	•					
XAMINE		DOCUMENT NUMBER	PUBLICATION DATE		Pages, Columns, Lines Where NAME Relevant Passages or Figures Appear						
ALL	+	6,118,589	09/12/2000	An	gelo et al.						
1		6,116,509	09/12/2000	-	gelo et al.						
		6,085,299	07/04/2000	An	gelo et al.		<del></del>				
+		6,061,794	05/09/2000	An	gelo et al.		·				
$\dashv$	4E	6,057,965	05/02/2000	An	gelo et al.						
_		6,032,257	02/29/2000	+	arig et al.	$\neg \uparrow$					
-	+	6,026,016	02/15/2000	+-	fken						
+		6,009,524	12/28/1999	Ola	arig et al.	-					
+		6,003,144	12/14/1999	Oli	arig et al.	$\top$	· · · · · · · · · · · · · · · · · · ·				
_	43	5,974,438	10/26/1999	Nu	refeld		·				
1	-	5,974,250	10/26/1999	An	igelo et al.						
	4L	DOCUMENT NUMBER	PUBLICATION DATE		OR APPLICANT		Pages/Columns/Linevant Passages/Fig	es Where	neck if Inslatio Insched		
	4M					<del>                                     </del>					
	4N								<del></del>		
	40										
	4P										
	<u> </u>	OTHER REF	ERENCES (includi	ng Aı	uthor, Title, Date, Pe	ertine	ent Pages, etc.)	1			
Au	,	Franz et al., "Application S	Method and Appara erial No. 10/043,47	itus fo 8, file	or Identifying the Write d January 10, 2002	e Prot	ect Status of a D	Diskette,"			
	41	Angelo et al., "Method and Apparatus for Using a MAC Address as Unique Machine Parameters to Identify Equipment," Application Serial No. 10/184,146, filed June 28, 2002									
1	4:	S Neufeld et al. Application S	, "Method and Appa Berial No. 10/632,50	aratus 0; file	to Provide Secure Co d August 1, 2003	ommui	nications Betwee	en Systems,"	<del>-</del>		
EXA	MINER		7		DATE CONSIDER	<b>3ED</b>			-		
		1.7	_		6/1	10-	) <sup>.</sup>				
Rev 10/03	3 (PTO14	49)			6/1	8/07	)		-		

Sheet 5 of 8

	OD44	PTO 4440			ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION	NO	
		PTO-1449			200314542-1				
		F PATENTS AND P :ANT'S INFORMAT	UBLICATIONS FOR ION DISCLOSURE		APPLICANT				
^			EMENT		Michael F. Angelo FILING DATE	et al.			
	((	Use several sheets	if necessary)		Herewith	5.155.			
REFERE	NCE	DESIGNATION	U.S. PA	ATEN	T DOCUMENTS				
EXAMINE	₹	DOCUMENT	PUBLICATION	- [		Pages, Columns	Pages, Columns, Lines Where		
INITIAL		NUMBER	DATE	_	NAME	Relevant Passages of	r Figures Appear		
Au	5A	5,960,084	09/28/1999	An	gelo				
	5B	5,955,722	09/21/1999	Ku	rz et al.				
$\top$	5C	5,953,422	09/14/1999	An	gelo et al.				
$\top$	5D	5,949,882	09/07/1999	An	gelo				
	5E	5,944,821	08/31/1999	An	gelo				
	5F	5,923,754	07/13/1999	An	gelo et al.				
<del> </del>	+-	5,909,691	06/01/1999	Sc	hultz et al.				
_	5H 5,887,131 03/23/1999			An	gelo				
	51	5,859,911	01/12/1999	An	gelo et al.				
+	5J	5,853,422	09/14/1999	An	igelo et al.				
1	+	5,850,559	12/15/1998	Αn	igelo et al.				
	1	DOCUMENT	FOI PUBLICATION		PATENT DOCUME	Pages/Columns/Line	s Where Tran	ieck if	
		NUMBER	DATE		OR APPLICANT	Relevant Passages/Figu	res Appear att	ached	
	5L								
	5M					 			
-	5N								
	50			•					
	5P								
		OTHER RE	FERENCES (includi	ng A	uthor, Title, Date, P	ertinent Pages, etc.)			
	5	α .							
	-	R						-	
								•	
	5	s						-	
								_	
EXA	MINE	~ /	7		DATE CONSIDER				
		/16	<u>-</u>		6/10	1/07			

Sheet 6 of 8

· · ·						
		200314542-1		PTO-1449		
		APPLICANT		F PATENTS AND PL ANT'S INFORMATI		
	il. I GROUP	Michael F. Angelo FILING DATE		STATE		
		Herewith	f necessary)	Jse several sheets i	(L	
	· · · · · · · · · · · · · · · · · · ·	T DOCUMENTS	U.S. PA	DESIGNATION	NCE	EFER
	Pages, Columns, Lines Where Relevant Passages or Figures App	NAME	PUBLICATION DATE	DOCUMENT NUMBER		AMINE NITIAL
		Souza et al.	12/08/1998	5,848,418	6A	qu
		vis	12/01/1998	5,844,986	6B	
		vinovitz	10/13/1998	5,822,184	6C	$\top$
		attison	07/07/1998	5,778,070	6D	十
		gelo et al.	05/05/1998	5,748,940	6E	1
		gelo et al.	05/05/1998	5,748,888	6F	+
<del></del>	<u> </u>	llison et al.	04/07/1998	5,737,744	6G	
		ufeld	09/16/1997	5,668,971	6Н	1
		hultz et al.	01//07/1997	5,592,648	61	
		yg et al.	12/17/1996	5,586,274	6J	一
		ufeld	05/28/1996	5,522,065	6K	+
Chec Transl attac	Pages/Columns/Lines Where elevant Passages/Figures Appear	IAME OF PATENTEE OR APPLICANT	PUBLICATION DATE	DOCUMENT NUMBER		
					6L	
	***				6M	
_					6N	
					60	
					6P	
٠	ent Pages, etc.)	uthor, Title, Date, Pe	ERENCES (including	OTHER REF		
				Ω	60	
				<b>D</b> 1	-	
					0	
<del> </del>				·		
			,	s	6	
		DATE CONSIDER	$\overline{}$		IINER	ĐΧΑ
		l l	,			
	Pages/Columns/Lines Where levant Passages/Figures Appear	hultz et al.  /g et al.  pufeld  I PATENT DOCUME  IAME OF PATENTEE  OR APPLICANT	01//07/1997 12/17/1996 05/28/1996 FOR	5,592,648 5,586,274 5,522,065  DOCUMENT NUMBER  OTHER REF	6I 6J 6K 6N 6O 6P	

Sheet 7 of 8

500					ATTY. DOCKET NO.	APPLICATION NO. CONFIRMA	TION N
					200314542-1		
					APPLICANT		<del></del>
AFF	uur				Michael F. Angelo	et al.	
	(U	se several sheets i	if necessary)		1	GROUP	
	^F	DECICNATION	II C D/	TEN			
	UE I			A I EN		Page Calumna Lines Whee	
L		NUMBER	PUBLICATION DATE		NAME		
, 7	A	5,490,342	02/13/1996	Ru	tterman		
	В	5,440,716	08/08/1995	Sc	hultz et al.		
7	C	5,408,644	04/18/1995	Sc	hneider et al.		
7	D.	5,363,273	11/08/1994	Ma	<u> </u>		
7	Έ	5,333,305	07/26/1994	Ne	ufeld		
7	'F	5,331,646	07/19/1994	Kri	ueger et al.		
7	'G	5,271,152	12/21/1993	М	urphy		
7	'H	5,249,279	09/28/1993	Sc	hmenk et al.		
7	71	5,224,019	06/29/1993	W	ong et al.		
7	7.3	5,175,670	12/29/1992	W	ang		
7	7K	5,159,533	10/27/1992	Ku	iang		
Τ		DOCUMENT	PUBLICATION			Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check Translat
-	71	110111021					
							1
							1
<del>-</del>					· · · · · · · · · · · · · · · · · · ·		1
		OTHER RES	EPENCES /includi	na Ai	uthor Title Date Pe	ertinent Pages etc.)	
		- UNEX REF	ENERGES (IIICIDAI	ng A	· · · · · · · · · · · · · · · · · · ·		<del></del>
	70					•	
					,		
	L						
	7F	1					
							•
	75	3				;	
							٤
AMIN	田		$\sim$		DATE CONSIDER	Ð	
					1		
	REN( 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7	Columbia	Company	LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)  RENCE DESIGNATION  U.S. PA  ENCE DESIGNATION  DATE  70 5,490,342 02/13/1996  08/08/1995  70 5,440,716 08/08/1995  71 5,240,71994  72 12/21/1993  73 5,175,670 12/29/1992  TO DOCUMENT PUBLICATION DATE  TO DOCUMENT NUMBER  TO T	Cuse several sheets if necessary)   Carrier   Cuse several sheets if necessary	UST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	200314542-1

Sheet 8 of 8

		PTO-1449			ATTY. DOCKET NO. 200314542-1		APPLICATION NO.	CONFIRMATIO	- N NO.
			PUBLICATIONS FOR ION DISCLOSURE		APPLICANT				-
		STAT	EMENT		Michael F. Angelo et al. FILING DATE GROUP				-
	(1	Use several sheets	if necessary)		Herewith				
REFERE	ENCE	DESIGNATION	U.S. P/	ATEN	T DOCUMENTS			·	-
EXAMINEI INITIAL	R DOCUMENT NUMBER		PUBLICATION DATE		NAME		Pages, Columns, Lines Where Relevant Passages or Figures Appear		
Au	8A 5,101,492 8B		03/31/1992	Sci	Schultz et al.				-
									-
	8C				· ·				-
	8D								-
	8E			1					-
	8F					$\neg$		· · · · · · · · · · · · · · · · · · ·	-
	8G	· · · · · · · · · · · · · · · · · · ·							_
	8н				· · · ·				_
	81			$\dagger$					_
	8J			$\top$					_
	8K								-
		DOCUMENT NUMBER	PUBLICATION DATE	N	NAME OF PATENTEE OR APPLICANT F		Pages/Columns/Lines Where		check if anslation ttached
	8L 8M					+-			
	8N					+-			
	80					+-			
	8P								
	Jor	<u> </u>	FERENCES (includi	ing A	uthor, Title, Date, Po	Pertin	ent Pages, etc.)	<b>_\</b>	
	8	Q			,	<del></del>			
·			'						
	8	R			<del></del>				<del>-</del>
							·		_
	8	S				·			
EXAI	MINE				DATE CONSIDER	RED		., <del>.</del> , , <del></del> .	_
Rev 10/03	9 (PTO14	149)	16			6/	18/07		